

Document Number & Revision

DSNC2040HD34

Description

Rechargeable Smart Lithium Ion Battery Pack

Inspired Energy Part Number For Battery

NC2040HD34

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1. **REVISION HISTORY**

Revision	Release	Revisions	Issued	Approved By
	Date		Ву	
1.0	2/12/13	Released.	RAH	DB, LJ
1.1	1/26/16	Changed shipping s-o-c	LJ	LJ
1.22/5/18Updated Discharge Limits.		Updated Discharge Limits.	NCN	JAB, RAH

2. INTRODUCTION

2.1. Scope

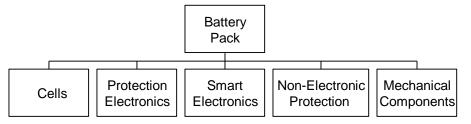
This specification describes the physical, functional and electrical characteristics of a rechargeable Lithium Ion battery pack supplied by Inspired Energy. This specification is the interface document between Inspired Energy and it's customers. It is understood that the customer may create their own internal specification. However, this specification is the master that defines the battery's operation. Battery packs produced will meet this specification.

2.2. Battery Pack Overview

This specification describes the physical, functional and electrical requirements for the NC2040HD34 Smart Battery including a rechargeable Lithium Ion battery and a Battery Management Module. The battery consists of (3) Lithium Ion rechargeable cells of 18650 size, assembled in a 3 series / 1 parallel (3S 1P) configuration. Each cell has an average voltage of 3.6V and a typical capacity of 3.4Ah giving a battery pack of 10.8V and 3.4Ah typical.

The battery is capable of communicating with host or the charger through the System Management Bus (SMBus). The battery is fully SMBus and SBDS Revision 1.1compliant. Protection is provided for over-charge, over-discharge and short circuit. For redundancy, passive safety devices have been integrated into the pack to protect against over-current and over-temperature, and secondary over-voltage has been implemented with a logic-fuse and controller.

The battery pack comprises the individual elements as shown below.





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2.3. General Precautions

2.3.1. Handling

- Avoid shorting the battery
- Do not immerse in water.
- Do not disassemble or deform the battery
- Do not expose to, or dispose of the battery in fire.
- Avoid excessive physical shock or vibration.
- Keep out of the reach of children.
- Never use a battery that appears to have suffered abuse.

2.3.2. Charge & Discharge

- Battery must be charged in appropriate charger only.
- Never use a modified or damaged charger.
- Specified product use only.

2.3.3. Storage

• Store in a cool, dry and well-ventilated area.

2.3.4. Disposal

• Regulations vary for different countries. Dispose of in accordance with local regulations.

3. **REQUIREMENTS**

3.1. General Requirements

3.1.1. Nominal Voltage

The battery nominal operating voltage is 10.8V.

3.1.2. Rated Capacity

The initial capacity is \ge 3230mAh (based on a CV charge of 12.6V \pm 50mV with a current limit of 1500mA and a 680mA discharge to 7.5V @ 25C, within 1 hour of charge).



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3.1.3. Initial Impedance

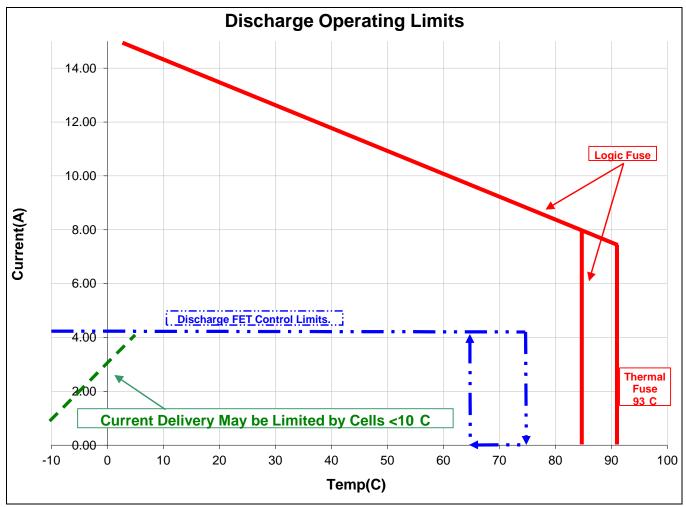
The internal impedance of a fully charged battery shall be $< 315 \text{m}\Omega$ when measured across the positive and negative battery terminals at 1kHz at 20°C.

3.1.4. Discharge -20C to +60C

Discharge Temperature Limits: As shown below, $\leq 80\%$ RH

The battery shall be capable of continuous discharge within the Operating Boundary as shown in the graph below.

Host devices should be designed for a controlled shutdown following battery notification of termination by the battery sending TERMINATE_DISCHARGE alarm, prior to protection circuit cut-off.





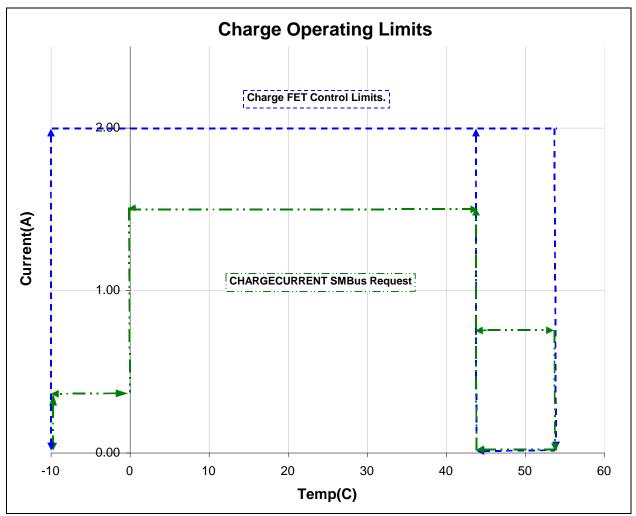
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3.1.5. Charge 0C to +45C

Charge Temperature Limits: As Shown below, $\leq 80\%$ RH

The battery shall be capable of continuous charge at 12.6V, as shown in the graph below. A dedicated level II or level III smart battery charger is required to charge the battery. Using this type of charger, the battery will request appropriate charging Voltage and Current from the smart battery charger.

The FULLY_CHARGED bit in the BatteryStatus() will be set when the charging current tapers down under 113mA while charging at 12.6V.



NOTE: If not charging & temperature > 45C then the battery enters Charge-Inhibit where ChargingCurrent() = 0 until temperature \leq 44C.



3.1.6. Storage

Storage Temperature Limits: -20° C to 60° C, $\le 80\%$ RH

The battery packs should be stored in an environment with low humidity, free from corrosive gas at a recommended temperature range $<21^{\circ}$ C. Extended exposure to temperatures above 45° C could degrade battery performance and life.

3.1.7. Terminal Specifications

See Mechanical Drawing for orientation of contacts J1-1,5

Terminal	Legend	Description
1	(+)	Positive side of battery
2	(C)	SMBus Clock. Internally a 1M Ω resistor is connected between (C) and (-).
3	(D)	SMBus Data. Internally a $1M\Omega$ resistor is connected between (D) and (-).
4	(T)	$300\Omega \pm 5\%$ resistor connected between (T) and (-).
5	(-)	Negative Side Of Battery

• A key slot is also present on each pack for mechanical alignment adjacent to the positive terminal.

• The SMBus Clock and data lines require separate pull-ups to system logic voltage, NOT the battery voltage. Typically a 15KΩ pull-up resistor is used, but please refer to the SMBus Specification for additional information.

3.2. Fuel-Gauge Electronics

3.2.1. Overview Of Operation

The battery is capable of communicating with host or the charger through the System Management Bus (SMBus). The battery is fully SMBus and SBDS Revision 1.1 compliant. An 8-bit Reduced Instruction Set CPU (RISC) is used to process the core algorithms and perform operations required for battery monitoring. Charge and discharge current, cell and pack voltages, and pack temperature are all measured using an integrated analog to digital converter at 14-bit to 16-bit effective resolution.

The battery pack uses a system level approach to optimize the performance of the battery. It's primary functions are to provide fuel gauging and software based charge control, and to ensure safe operation throughout the life cycle of the battery.



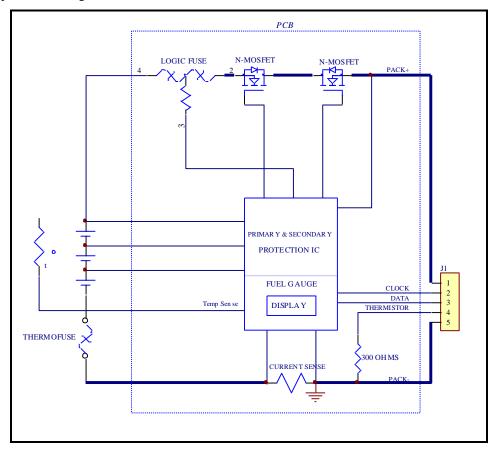
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The fuel gauge determines the State-Of-Charge (SOC) by integrating the input and output current and using impedance tracking to accurately track the available capacity of the attached battery. To achieve the desired fuel-gauging accuracy, high-performance analog peripherals are used to monitor capacity change, battery impedance, open-circuit voltage and temperature. These factors are continually applied to account for battery non-linearity and environmental conditions. This approach provides the user a meaningful and repeatable capacity measure with minimal risk of overstating run time. Visually, the SOC can be obtained from the 5-segment LCD panel on the end of the battery opposite to the connector. This LCD panel is always-on.

Charge control is used to provide optimal and safe charging requests to an SMBus level II or level III charger.

The system has three modes of operation; normal, sleep and shutdown. In normal mode, measurements, calculations, protection decisions and data updates are made on 1 sec intervals. Between these intervals, the electronics enters a reduced power mode. Sleep mode is entered when the system senses no host or charger present. While in this mode, battery parameters continue to be monitored at regular intervals. The system will continue in this mode until it senses host activity (communications or current flow). Shutdown mode occurs when the battery voltage falls below 2.3V/parallel cell group. In this mode, parasitic current is reduced to a minimum by shutting down the micro-controller and all associated circuitry. If this should happen, the battery will require an initial low current charge to bring the battery voltage back up before normal operation will resume.

The battery pack block diagram is shown below.





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Battery Specification

3.2.2. DC Specifications

Parameter	Limits	Remarks
Active mode current consumption	<745uA	When a host is detected (charging, discharging or
		communications).
Standby mode current consumption	<200uA	When no host activity is detected.
Shut-down mode current consumption	<1uA	Any cell voltage falls below 2300mV.

3.2.3. Measurement Accuracy

3.2.3.1.Voltage

The voltage measurements have a resolution of 1mV. The absolute accuracy of the reading is $\pm 0.7\%$ over the operating range. Note that measurements are made at the cell stack (not the pack connector). Therefore internal resistance drops due to the shunt, safety components, and contact resistance are not taken into consideration.

3.2.3.2.Temperature

The internal pack temperature is measured by a on-chip temperature sensor in thermal contact with the cell stack. Temperature readings have a resolution of 0.1° K. The absolute accuracy is $\pm 3^{\circ}$ K over an operating range of -20° C to $+80^{\circ}$ C.

3.2.3.3.Current

The current measurements have a resolution of 1mA. The absolute accuracy of the reading is $\pm 0.7\%$ or $\pm 3mA$ whichever is greater over the operating range. A guard band has been imposed around zero current (-3mA to +3mA).

3.2.4. LCD Indication

The battery can directly display the capacity information. The battery capacity is displayed as the relative SOC. Each LCD segment represents 20 percent of the full charge capacity. The LCD pattern definition is given in the table below. If the battery voltage is low, there will be no LCD indication. During charge, the most significant segment will blink.

Capacity		LCD Segments				
	1	2	3	4	5	
0% - 19%						
20% - 39%]			
40% - 59%				1		
60% - 79%					1	
80% - 100%						



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3.3. SMBus and SBD Parameters

3.3.1. Overview Of Operations

The battery is fitted with a microprocessor and associated circuitry for communication with an external host device and/or smart battery charger. Reference should be made to the following specifications when reading this section:

- System Management Bus Specification (Rev 1.1, Dec 11, 1998) with the exception that it is necessary to wait at least 150uS between battery message transactions.
- Smart Battery Data Specification (Rev 1.1, Dec 15, 1998)
- Smart battery Charger Specification (Rev 1.0, June 27, 1996)

3.3.2. SMBus Logic Levels

Symbol	bol Parameter		Limits	
		Min	Max	
V _{il} Data/Clock input low voltage		-0.3	0.8	V
V _{ih} Data/Clock input high voltage		2.1	5.5	V
V _{ol} Data/Clock output low voltage			0.4	V

3.3.3. SMBus Data Protocols

SMBus Interface complies with SBS Specification Version 1.1. The battery pack includes a simple bi-directional serial data interface. A host processor uses the interface to access various battery pack registers.

The interface uses a command-based protocol, where the host processor sends the battery address command byte to the battery pack. The command directs the battery pack to either store the next data received to a register specified command byte or output the data specified by the command byte.

3.3.4. SMBus Host-to-Battery Message Protocol

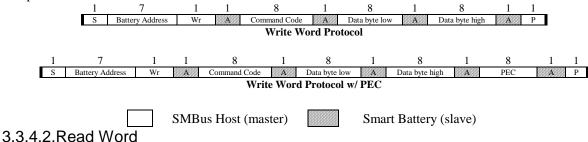
The Bus Host communicates with the battery pack using one of three protocols:

- Write Word
- Read Word
- Read Block

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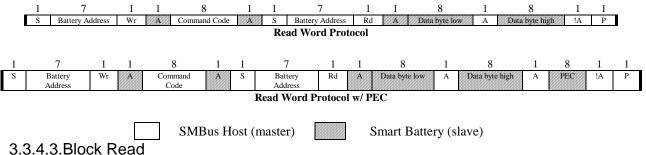
3.3.4.1.Write Word

The first byte of a Write Word access is the command code. The next two Bytes are the data to be written. In this example the master asserts the slave device address followed by the write bit. The device acknowledges and the master delivers the command code. The slave again acknowledges before the master sends the data word (low byte first). The slave acknowledges each byte according to the I²C specification, and the entire transaction is finished with a stop condition.

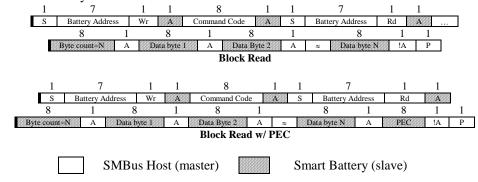


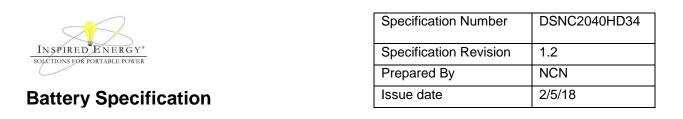
Reading data is slightly more complex than writing data. First the host must write a command to the slave device. Then it must follow that command with a repeated start condition to denote a read from that device's address. The slave then returns two bytes of data.

Note that there is not a stop condition before the repeated start condition, and that a "Not Acknowledge" signifies the end of the read transfer.



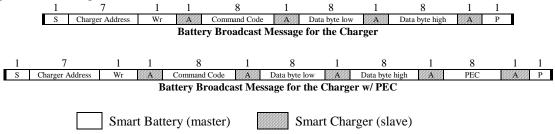
The Block Read begins with a slave address and a write condition. Then it must follow that command with a repeated start condition to denote a read from that device's address. After the repeated start the slave issues a byte count that describes how many data bytes will follow in the message. If a slave had 20 bytes to send, the first byte would be the number 20 (14h), followed by the 20 bytes of data. The byte count may not be 0. A Block Read can transfer a maximum of 32 bytes.





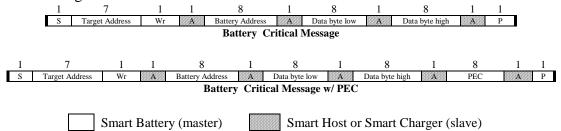
3.3.5. SMBus Battery-to-Charger Message Protocol

The Smart Battery, acting as an SMBus master will dynamically alter the charger characteristics of the Smart Charger, behaving as an SMBus slave using the SMBus Write Word protocol. Communication begins with the Smart Charge's address, followed by a Command Code and a two byte value. The Smart Charger adjust its output to correspond with the request.



3.3.6. SMBus Battery Critical Message Protocol

A Smart Battery to SMBus Host or Smart Charger message is sent using the SMBus Write Word protocol. Communication begins with the SMBus Host's or Smart Battery Charger's address, followed by the Smart Battery's address which replaces the Command Code. The SMBus Host or Smart Charger can now determine that the Smart Battery was the originator of the message and that the following 16 bits are its status.



3.3.7. Host To Battery Messages (Slave Mode)

The Host acting in the role of bus master uses the read word, write word, and read block protocols to communicate with the battery, operating in slave mode.



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Host-to-Battery Messages

DeviceName() 0x21 Returns a character array that contains the battery's name. string r N DeviceChemistry() 0x22 Returns a character array that contains the battery's string r N	Function	Command	Description	Unit	Access	Default
RemainingCapacityAlarm Threshold. mAh. t/w RemainingCapacityAlarm Threshold. minutes r/w BatteryMode() 0x02 Remaining Time Alarm Threshold. minutes r/w ARRate() 0x04 This function is the first half of a two-function call-set used to set the AfRate value used in calculations made by the AfRate Time To-Ein(). AfRate Time To-Einpty(). mAA r/w ARRateTimeTo-Einpty() 0x05 Returns the predicted remaining time to fully charge the battery at the AfRate() value. minutes r AfRateTimeTo-Einpty() 0x06 Returns the predicted remaining operaing time if the batery to discords. If the AfRate() value. minutes r AfRateOr() 0x07 Returns the predicted remaining time to fully charge the batery can deliver the AfRate value of additional energy for 10 seconds. If the AfRate value of additional energy for 10 seconds. If the AfRate value of additional energy for 10 seconds. If the AfRate value can object the cell stack) m/v r Temperature() 0x08 Returns the batery for to cacepted) function the mA r Voltage() 0x09 Returns the batery for cacepted in through the mA m/v AverageCurrent() 0x04 Returns the predicted remaining batery capacity expressed percent						(POR)
RemainingTimeAlarm() 0x02 Remaining Time Alarm Threshold. minutes r/w BatteryOcer (1) 0x04 BitteryOcer (1) 0x04 BitteryOter (1) 0x06 Returns the predicted remaining operating time if the battery minutes r ArRateDR(1) 0x07 Returns the outcle the A/Rate(1) value. bolean bittery (2) bolean bitttery (2) bolean bitte	V					
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set the AlkRate value used in calculations made by the AlkRateTimeToEmpty(). and AlkRateOK() minutes AlkRateTimeToEmpty() 0x05 Returns the predicted remaining time to fully charge the battery at the AlkRate() value. minutes r AlkRateTimeToEmpty() 0x06 Returns the predicted remaining operating time if the battery is discharged at the AlkRate() value. minutes r AlkRateOK() 0x07 Returns the predicted remaining operating time if the battery is discharged at the AlkRate value of additional energy for 0 seconds. If the AlkRate value of additional energy for 0 seconds. If the AlkRate value is zero or positive, the AlkRateOK() function will ALWAYS return TRUE. boolean r Temperature() 0x08 Returns the battery's voltage (measured at the cell stack). mV r Voltage() 0x08 Returns the predicted margin of error. percent r AverageCurrent() 0x00 Returns the specified margin of error. percent r RelativeStateOlCharge() 0x00 Returns the predicted remaining battery capacity expressed as percentage of beginCapacity(). mAh r AverageTimeToEmpty() 0x10 Returns the predicted remaining battery capacity. mAh r AverageTimeToEmpty()	* <u>v</u>			Ŭ.		0x0081
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3.3.8. Battery To Charger Messages (Master Mode)

The battery, acting in the role of a bus master, uses the write word protocol to communicate with the charger, operating in slave mode. If the CHARGER_MODE bit in BatteryMode() is clear, the Battery will broadcast Charger request information every <u>10 to 60 seconds</u>.

Battery-to-Charger Messages

Function	Command Code	Description	Unit	Access
ChargingCurrent()	0x14	Sends the desired charging rate to the battery charger		W
ChargingVoltage()	0x15	Sends the desired charging voltage to the battery charger		W

3.3.9. Critical Messages (Master Mode)

Whenever the Battery detects a critical condition, it takes the role of a bus master and sends AlarmWarning() message to the Host and/ or Charger. The Battery broadcasts the AlarmWarning() message at <u>10 second intervals</u> until the critical condition(s) has been corrected.

Battery Critical Messages

Function	Command Code	Description	Unit	Access
AlarmWarning()	0x16	This message is to the host and/or charger to notify them that one or more alarm conditions exist.	Formatted word	W



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Alarm Bit Definitions

Bit	Battery Status	Set When:	Action When Set:	Cleared When:
15	OVER_CHARGD_ALARM	RemainingCapacity() exceeds FullChargeCapacity() + 300mAh.	Stop charging.	A continuous discharge of ≥ 300mAh.
14	TERMINATE_CHARGE_ALARM	Primary Charge Termination, Cell (COV), Over-Current Charge (OCC), Over-Temp Charge (OTC) conditions. COV = 4300mV OCC = 2000mA OTC = 58°C	Stop charging.	RelativeStateOfCharge() ≤ 95%, COV, OCC or OTC recovery threshold. COV recovery ≤ 4150mV OCC recovery ≤ 200mA for 70sec OTC recovery ≤ 56°C
13	Reserved			
12	OVER_TEMP_ALARM	Over-Temp Charge (OTC) or Over- Temp discharge (OTD) condition. OTC=58°C OTD=75°C	Appropriate FET will be disabled to prevent further action.	OTC or OTD recovery threshold. OTC recovery = 56°C OTD recovery = 65°C
11	TERMINATE_DISCHARGE_ALARM	RelativeStateOfCharge() $\leq 0\%$, Cell (CUV, Over-Current Discharge (OCD), Over-Temp Discharge (OTD) conditions. CUV = 2400mV OCD = -4250mA OTD = 75°C	Stop discharging.	RelativeStateOfCharge() ≥ 1%, CUV, OCD or OTD recovery threshold. CUV recovery ≥ 3000mV OCD recovery ≥ -200mA for 70sec OTD recovery ≤ 65°C
10	Reserved			
9	REMAINING_CAPACITY_ALARM (User settable)	RemainingCapacity() < RemainingCapacityAlarm().	User defined.	RemainingCapacityAlarm() = 0 or is ≤ RemainingCapcity().
8	REMAINING_TIME_ALARM (User settable)	AverageTimeToEmpty() < RemainingTimeAlarm().	User defined.	RemainingTimeAlarm() = 0 or ≤ AverageTimeToEmpty().

Status Bit Definitions

Bit	Battery Status	Set When:	Action When Set:	Cleared When:
7	INITIALIZED		None.	
6	DISCHARGING	Battery is not in charge mode.	None.	Battery is in charging mode.
5	FULLY CHARGED	When the battery detects a primary charge termination.	Stop charging.	RelativeStateOfCharge() \leq 95%.
4	FULLY DISCHARGED	RelativeStateOfCharge() \leq 0%.	Stop discharging.	RelativeStateOfCharge() \geq 20%.

3.3.10.Pack Calibration Cycle

The fuel-gauge uses the Impedance Track Technology to measure and calculate the available charge in battery cells. The achievable accuracy is better than 1% error over the lifetime of the battery. Max Error increases by 1% in 20 cycles, e.g., only occasionally is a full charge/discharge learning cycle required to maintain high accuracy.

3.4. Protection Electronics

3.4.1. Overview Of Operation

Electronic circuitry is permanently connected within the battery pack to prevent damage if either the charger or host device fails to function correctly. The circuitry also protects the battery if an illegal current source is placed across the battery terminals, or an illegal load is connected. Redundant levels of protection have been implemented (the primary protection levels are auto-resettable and the secondary are non-resettable).



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3.4.2. Charge Protection

Over-Voltage:

The primary protection circuit will prevent the battery from charging if any cell voltage ≥ 4300 mV. Then, once all cell voltages are ≤ 4200 mV, it will allow charging again.

The secondary protection circuit will prevent the battery from charging if any cell voltage $\geq 4.45V + -0.05V$ by blowing a power path logic fuse. The fuse is non-re-settable rendering the battery pack non-functional.

Over-temp:

The primary protection circuit also provides over-temperature protection and will prevent the battery from charging at temperatures \geq 54°C(see paragraph 3.1.5 for ChargeCurrent() request). Then, once the battery temperature has cooled to \leq 45°C, it will again allow charging.

Over-Current:

The primary protection circuit also provides continuous over-current protection and will prevent the battery from charging at current ≥ 2.0 A. Then, once the average charge current is ≤ 200 mA for 70sec, the battery will re-test the over-current condition, and again allow charging.

3.4.3. Discharge Protection

Under-Voltage:

The primary protection circuit will prevent the battery from being further discharged once any cell voltage reaches 2400mV. Then, once all cell voltages are \geq 3000mV, it will allow discharge again.

Over-temp:

The primary protection circuit also provides over-temperature protection and will prevent the battery from discharging at temperatures \geq 75°C. Then, once the battery temperature has cooled to \leq 65°C, it will again allow discharging.

If the battery reaches 85°C for any reason the secondary protection circuit will blow the in-line power path logic fuse. The fuse is non-re-settable rendering the battery pack non-functional.

Over-Current:

The primary protection circuit also provides continuous over-current protection and will prevent the battery from discharging at current \geq 4.25A. Then, once the average discharge current \leq 200mA for 70sec, the battery will re-test the over-current condition, and again allow discharging.

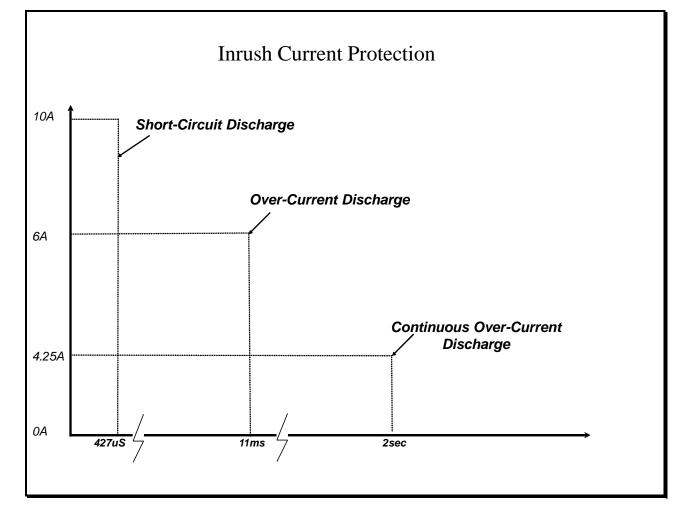
3.4.4. Short-Circuit Protection

The primary protection circuit will prohibit the discharge of the battery if a short-circuit is placed across the battery + / - terminals. Then, once the average discharge current ≤ 1 mA for 70sec, the battery will re-test the short-circuit condition, and again allow discharging.



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The pack is design to withstand reasonable in-rush currents without resetting the electronics and without interrupting the discharge cycle. The following graph illustrates the short-circuit/in-rush set points as implemented:



3.5. Passive Safety Protection

3.5.1. Overview Of Operation

The battery pack is fitted with additional components to protect it against abusive charge and discharge conditions. These are in addition to the electronic protection.



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3.5.2. Thermal Fuse

A Thermal Fuse is fitted in series with the charge/ discharge path to protect the battery from over temperature. This device goes open circuit if the cell case temperature reaches the fuse's temperature rating of $93^{\circ}C$ (+0°C, -5°C). The fuse is non-re-settable rendering the battery pack non-functional.

3.5.3. Slow-Blow Current Fuse (Logic Fuse)

A current slow-blow fuse is assembled in series with the battery pack to protect the battery pack against abusive over current over-load. The hold current is rated at 12A for 4 hours (minimum@25C). The fuse is non-re-settable rendering the battery pack non-functional.

3.6. Mechanical Specifications

3.6.1. Weight

Approximately 0.184 Kg.

3.6.2. Mating Connector

The recommended interconnection mating male connector is AMP P/N 5787422-1 or 5787446-1.

3.6.3. Date Code/Serial Number

IE <u>YYWW</u>RR

SN SSSSS XZZAh

IE = Inspired Energy Newberry facility YY = Calendar Year WW = Calendar Week RR = Battery revision SSSSSS = Serial Number X = the cell supplier ZZAh = the stored energy of the battery in Amp hours

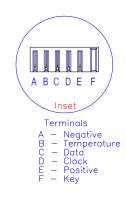
3.6.4. Packaging

The batteries are packaged in bulk.



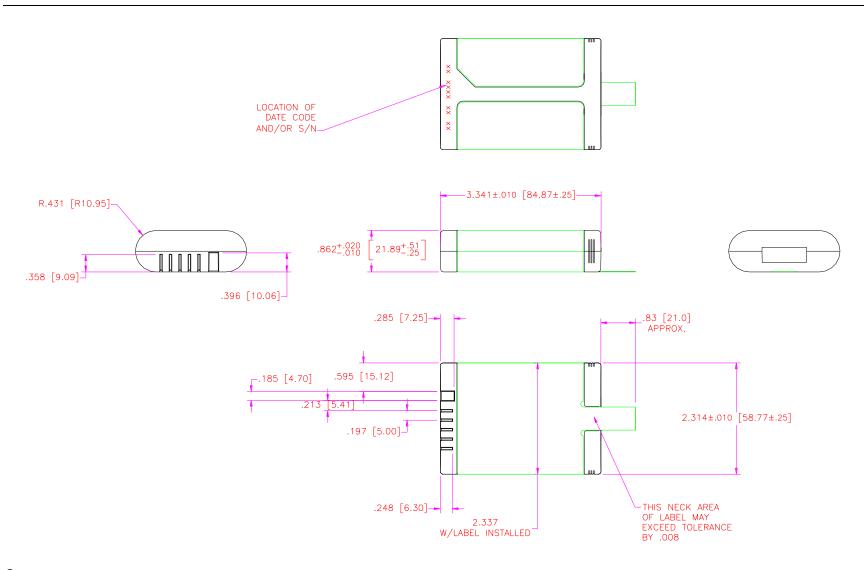
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3.6.5. Mechanical Drawing





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3.7. Environmental/Safety Specifications

3.7.1. EMC And Safety

The battery complies with the following:

- CE Directive
- EMC Directive
- Battery Recycling Directive
- "RoHS2" & "REACH" Directives
- "WEEE" Directive

The battery has been tested in accordance with the UN Manual of tests and Criteria part III subsection 38.3 - more commonly known as the UN T1-T8 Transportation tests; and has been found to comply with the stated criteria. [USDOT-E7052]

The battery has the following approvals and the pack will be labeled according:

- CE
- FCC Part 15 Class B

3.8. Reliability

3.8.1. Life Expectancy

Given normal storage & usage, user can expect the battery to deliver 1026mAh or more after 300 charge/discharge cycles where the charge phase is CC/CV 1500mA, $12.6\pm0.05V$ and the discharge is 680mA down to 2.5V/Cell at 25° C.

3.8.2. Warranty

Inspired Energy maintains a high quality standard. All products are warranted against defects in workmanship, material and construction. The warranty period is one (1) year from the date of shipment from Inspired Energy.

3.8.3. Shelf Life

The batteries are shipped from Inspired Energy with between 20% and 30% rated capacity and this provides a minimum of 6 months shelf life, when stored at 25°C. If the storage temperature exceeds 25°C over the 6-month period then the shelf life will be reduced and provisions should be made to recharge the battery periodically.

In order to prevent parasitic drain on the battery, the electronics will go into a shutdown mode if any cell voltage \leq 2300mV. If this should happen, the battery pack will require an initial low charge to activate the electronics prior to the implementation of the normal charge. Any SMBus version 1.0, or higher, compatible charger is capable of providing this initial pre-charge.